

INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, Sinayo Wealth (Pty) Ltd (Registration number 2015/417494/07) is required to disclose the information in this document to you as an authorised Financial Services Provider. You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records and to request a copy of our FSP license certificate.

THE REPRESENTATIVE

Name and Surname	Jacques Louis Pretorius
Capacity (NP/JR)	Natural Person
Office Telephone number	011 6668 460
Cell phone number	083 326 0421
Email address	jacquesp@sinayo.co.za

Sinayo Wealth (Pty) Ltd accepts responsibility for the activities of the Representative insofar as it is performed within the scope of the contractual agreement between Sinayo Wealth (Pty) Ltd and the Representative.

The Representative is not rendering financial services under supervision.

FINANCIAL SERVICE PROVIDER, PRODUCTS AND CONTACT DETAILS

The Financial Sector Conduct Authority has duly authorised Sinayo Wealth (Pty) Ltd to render financial services as defined in terms of the FAIS Act in respect of the following financial products:

Category Description	Advice Automated	Advice Non-automated	Intermediary Scripted	Intermediary Other
CATEGORY I				
Shares		X		X
Money market instruments		X		X
Warrants, certificates, and other instruments		X		X
Bonds		X		X
Derivative instruments		X		X
Participatory interests in a collective investment scheme		X		X
CATEGORY II – Discretionary FSP				
Shares				X
Money market instruments				X
Warrants, certificates, and other instruments				X
Bonds				X
Derivative instruments				X
Participatory interests in one or more collective investment schemes				X

Physical address 155 West Street 4th Floor, Wework Building, Sandton, Gauteng 2196

FSP license number 46834

Company registration 2015/417494/07

Legal status Private Company

Postal Address Postnet Suite 834 , Private bag X9, Benmore, Gauteng 2010

Telephone 011 6668 460

Fax

EXEMPTIONS

The FSP was not awarded any exemptions from the Act.

PRODUCT SUPPLIERS

Sinayo Wealth markets the products of various product suppliers as set out in the "Details of Product Suppliers" attached to this document.

CONFLICT OF INTEREST MANAGEMENT POLICY

Sinayo Wealth (Pty) Ltd has adopted and implemented a conflict of interest management policy that complies with the provisions of the FAIS Act. The conflict of interest management policy can also be obtained from Jacques Louis Pretorius on jacquesp@sinayo.co.za.

INDEMNITY COVER

Sinayo Wealth (Pty) Ltd holds Professional Indemnity cover.

COMPLIANCE OFFICER

Name	Outsourced Compliance Services (Pty) Ltd (Registration number 2017/394441/07 and Practice number 7154)
Physical address	Johannesburg address: Unit A30, Innovation Worx, c/o 16th and Scale End Road, Halfway House, Midrand, Johannesburg, 1685 Cape Town address: Unit 104, Paardevlei Rising 3, 8 Gardner Williams Avenue, Paardevlei, Somerset West, 7130
Contact person	Mr JJ van Zyl
Telephone number	+27(0)11 568 0925
E-mail	info@outsourcedcompliance.co.za

COMPLAINTS

Should you wish to pursue a complaint, you should address the complaint in writing.

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud:

Telephone number	0860 324 766
E-mail	info@faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

FINANCIAL INTELLIGENCE CENTRE ACT (“FICA”)

In terms of FICA, Sinayo Wealth (Pty) Ltd is an accountable institution. We are required to identify our prospective clients, verify the given information, and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

DISCLAIMER

You should note that there are risks involved in buying or selling any financial product, and past performance of a financial product is not necessarily indicative of the future performance. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions. Hedge Funds are collective investment schemes to which the prescribed provisions of the Collective Investment Schemes Control Act (Act 45 of 2002) apply.